

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK



In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only)

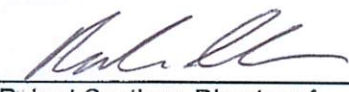
NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Southey Capital Ltd	<u>Name of Transferor:</u> HSBC PRIVATE BANK SUISSE SA
<u>Notices to Transferee should be sent to:</u> Southey Capital Ltd Ensign House, Suite 17 2 Admirals Way, London E14 9XQ, United Kingdom Attn: Robert Southey rs@southeycapital.com	<u>Court Record Address of the Transferor:</u> (Court use only)
<u>Name and Address where transferee payments should be sent (if different from above):</u>	<u>Name and Current Address of Transferor</u> HSBC PRIVATE BANK SUISSE SA ATTN: Quai de Bergues 9-17 P.O. Box 2688 1211 Geneve
<u>Amount of Claim Being Transferred:</u> EUR See Schedule 1 (face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 51762	
<u>Date Claim Filed:</u> October 28, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
Robert Southey, Director of  
Southey Capital Ltd  
Ensign House, Suite 17  
2 Admirals Way, London  
E14 9XQ, United Kingdom

Date:

6.10.17

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

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Schedule I

Transferred Claims

Transferred Claims

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
XS0299701655	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0264674549	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0271141565	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0264674549	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0271141565	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

**TO:** United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

**AND TO:** Lehman Brothers Holdings Inc. (the "Debtor")

**Case Name and Number:** In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)

**Proof of Claim Number:** 51762 (the "Proof of Claim")

**Portion of the Claim Subject to Transfer (the "Transferred Claim"):**

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

ISIN/CUSIP	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amounts or Units
XS0299701655	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0264674549	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0271141565	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0264674549	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00
XS0271141565	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	100,000.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.**

**HSBC PRIVATE BANK SUISSE SA, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:**

Southey Capital Ltd  
Ensign House, Suite 17  
2 Admirals Way, London  
Telephone: +44 203 745 7940  
Attention: Robert Southey

**("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.**

**Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the**

**RESTRICTED**

books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

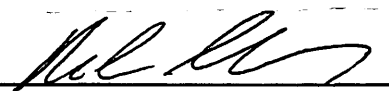
IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated October 4, 2017.

HSBC PRIVATE BANK SUISSE SA  
Transferor

By:   
Name: Cyril FRESNAY  
Title:   
Amir Malek

ACKNOWLEDGED BY:

Southey Capital Ltd  
Transferee

By:   
Name: Robert Southey  
Title: Director  
Southey Capital Ltd